I hereby certify that this correspondence is being deposited with the U.S. Postal Service as Express Mail, Airbill No. EL 913 978 925 US, in an envelope addressed to: MS PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on the date shown below.

Rec'd PCT/PTO 01 NOV 2005

Docket No.: HSE-058US

(PATENT)

#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Provisional Application of:

Michael S. Hsu

Application No.: 10/523372

Dated: November 1, 2005 Signature:

Filing Date of

International Application: August 8, 2003

For: SYSTEM AND METHOD FOR RECHARGING

A METAL-AIR CONVERTER USED FOR

VEHICLE PROPULSION

Confirmation No.: 6413

Art Unit: N/A

Examiner: Not Yet Assigned

### RESPONSE TO NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE

MS PCT Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Dear Sir:

In response to the Notification of Missing Requirements Under 35 U.S.C. 371, mailed July 1, 2005, Applicant respectfully submits a Declaration, Petition and Power of Attorney, as well as a copy of the Notification of Missing Requirements.

Dated: November 1, 2005

Respectfully submitted,

Anthony A. Lawrentano Registration No.: 38,220

LAHIVE & COCKFIELD, LLP

28 State Street

Boston, Massachusetts 02109

(617) 227-7400

(617) 742-4214 (Fax)

Attorney/Agent For Applicant

PTO-1390 (Rev. 07-2005)
Approved for use through 03/31/2007. OMB 0651-0021
U. S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

TRANSMITTAL LETTER TO	THE UNITED STATES	ATTORNEY'S DOCKET NUMBER
DESIGNATED/ELECTED	OFFICE (DO/EO/US)	HSE-058US U.S. APPLICATION NO. (if known, seg.37 CFR 1.5)
CONCERNING A SUBMISSION	ON UNDER 35 U.S.C. 371	10/523372
INTERNATIONAL APPLICATION NO. PCT/US2003/025041	INTERNATIONAL FILING DATE 8 August 2003	PRIORITY DATE CLAIMED 8 August 2002
TITLE OF INVENTION		
SYSTEM AND METHOD FOR RECHAI APPLICANT(S) FOR DO/EO/US	RGING A METAL-AIR CONVERTE	ER USED FOR VEHICLE PROPULSION
Michael S. HSU		
Applicant herewith submits to the United Sta	tes Designated/Elected Office (DO/EO/	US) the following items and other information:
1. This is a FIRST submission of item	s conceming a submission under 35 U	S.C. 371.
2. X This is a SECOND or SUBSEQUE	NT submission of items concerning a s	ubmission under 35 U.S.C. 371.
This is an express request to begin include items (5), (6), (9) and (21) in	national examination procedures (35 t ndicated below.	J.S.C. 371(f)). The submission must
4. The US has been elected (Article 3	31).	
5. A copy of the International Applicat	tion as filed (35 U.S.C. 371 (c)(2))	
a. is attached hereto (required onl	ly if not communicated by the Internation	nal Bureau).
b. has been communicated by the	e International Bureau.	
c. is not required, as the application	on was filed in the United States Receiv	ring Office (RO/US).
6. An English language translation of	the International Application as filed (3	5 U.S.C. 371(c)(2)).
a. is attached hereto.		
b. has been previously submitted	under 35 U.S.C. 154(d)(4).	
7. Amendments to the claims of the Ir	nternational Application under PCT Arti	de 19 (35 U.S.C. 371(c)(3))
a. are attached hereto (required o	only if not communicated by the Internat	ional Bureau).
b. have been communicated by the	ne International Bureau.	
c. have not been made; however, the time limit for making such amendments has NOT expired.		
d. have not been made and will no	ot be made.	
8. An English language translation of	the amendments to the claims under P	CT Article 19 (35 U.S.C. 371(c)(3)).
9. x An oath or declaration of the invent	tor(s) (35 U.S.C. 371(c)(4)).	
An English language translation of Article 36 (35 U.S.C. 371(c)(5)).	the annexes of the International Prelim	inary Examination Report under PCT
Items 11 to 20 below concern docur	ment(s) or information included:	
	nent under 37 CFR 1.97 and 1.98.	
	rding. A separate cover sheet in compli	ance with 37 CFR 3.28 and 3.31 is included.
13. A preliminary amendment.		
14. An Application Data Sheet under	37 CFR 1.76.	
15. A substitute specification.		
16. A power of attorney and/or change	e of address letter.	
	sequence listing in accordance with P	CT Rule 13 <i>ter</i> .2 and 37 CFR 1.821 – 1.825.
	nternational Application under 35 U.S.	, , , ,
19. A second copy of the English lang	guage translation of the international a	pplication under 35 U.S.C. 154(d)(4).

PTO-1390 (Rev. 07-2005)
Approved for use through 03/31/2007. OMB 0651-0021
U. S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE
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U.S. APPLICATION NO. (if known, see 37 CFR 1.5)  10/523372  INTERNATIONAL APPLICATION NO. PCT/US2003/025041		37 CFR 1.5)			ATTORNEY'S DOCKET NUMBER HSE-058US	
20. x Other items or information:  Two-Month Request for Extension of Time Fee Transmittal Copy of Notification of Missing Requirements Certificate of Express Mailing Return Receipt Postcard						
The foll	owing fees hav	e been submi	tted		CALCULATION	S PTO USEONLY
l ——	•		(a))	\$300	\$	11000001
22. Examination fee (37 CFR 1.492(c))  If the written opinion prepared by ISA/US or the international preliminary examination report prepared by IPEA/US indicates all claims satisfy provisions of PCT Article 33(1)-(4)\$0  All other situations			\$			
23. Search fee (37 CFR 1.492(b))  If the written opinion of the ISA/US or the international preliminary examination report prepared by IPEA/US indicates all claims satisfy provisions of PCT Article 33(1)-(4)		\$				
	TOTAL OF 21, 2	2 and 23 =			\$ 0.0	0
Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing in compliance with 37 CFR 1.821(c) or (e) or computer program listing in an electronic medium) (37 CFR 1.492(j)).  The fee is \$250 for each additional 50 sheets of paper or fraction thereof.		-				
Total Sheets	Extra Sheets	Alexander Carrier	ch additional 50 or fraction d up to a whole number)			
- 100 =	/50 =		i i i gara i i ing kalandaran sa	x \$250.00	\$	
Surcharge of \$130 for furnishing any of the search fee, examination fee, or the oath or declaration after the date of commencement of the national stage (37 CFR 1.492(h)).		\$				
CLAIMS	NU	MBER FILED	NUMBER EXTRA	RATE		
Total clair	ns .	-20 =		х .		
Independent	Independent claims - 3 = x					
MULTIPLE DEP	ENDENT CLAIM(	S) (if applicable)		+		
TOTAL OF ABOVE CALCULATIONS =		\$ 0.0	0			
X Applicant claims small entity status. See 37 CFR 1.27. Fees above are reduced by 1/2.		0.0	00			
				SUBTOTAL =	\$ 0.0	00
1	f <b>\$130.00</b> for furni late (37 CFR 1.49		translation later than 30 n	nonths from the earliest	\$	
				TOTAL NATIONAL FEE =	\$	0.00
Fee for recording by an appropriat	the enclosed ass e cover sheet (37	ignment (37 CFF CFR 3.28, 3.31).	R 1.21(h)). The assignmen \$40.00 per property	t must be accompanied +	\$	
			Т	OTAL FEES ENCLOSED =	\$	0.00
					Amount to be refunded:	\$
					Amount to be charged	\$

PTO-1390 (Rev. 07-2005)

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U. S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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a. A check in the amount of \$	to cover the above fees is enclosed.
b. X Please charge my Deposit Account No. A duplicate copy of this sheet is enclosed	in the amount of \$ 0.00 to cover the above fees.
	charge any additional fees which may be required, or credit any overpayment to Deposit duplicate copy of this sheet is enclosed.
d. Fees are to be charged to a credit card.	WARNING: Information on this form may become public. Credit card Information Provide credit card information and authorization on PTO-2038
NOTE: Where an appropriate time limit under 3 filed an granted to restore the International Ap	7 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be plication to pending status.
SEND ALL CORRESPONDENCE TO:	Authors tamento
Anthony A. Laurentano	SIGNATURE
LAHIVE & COCKFIELD, LLP 28 State Street	Anthony A. Lourontono
Boston, Massachusetts 02109 (617) 227-7400	Anthony A. Laurentano NAME
November 1, 2005	20.222
CUSTOMER NUMBER: 00959	38,220 REGISTRATION NUMBER
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PTO/SB/17 (12-04v2)

Approved for use through 7/31/2006. OMB 0651-0032 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no person are required to respond to a collection of information unless it displays a valid OMB control number Complete if Known Effective on 12/08/2004. 10/523372-Conf. #6413 Fees pursuant to the Consolidated Appropriations Act, 2005 (H.R. 4818). Application Number FEE TRANSMIT Filing Date February 2, 2005 First Named Inventor Michael S. HSU For FY 2005 **Examiner Name** Not Yet Assigned Applicant claims small entity status. See 37 CFR 1.27 N/A Art Unit **TOTAL AMOUNT OF PAYMENT** HSE-058US 225.00 Attorney Docket No. METHOD OF PAYMENT (check all that apply) Check Credit Card Money Order None Other (please identify): x Deposit Account Deposit Account Number: 12-0080 Deposit Account Name: Lahive & Cockfield, LLP For the above-identified deposit account, the Director is hereby authorized to: (check all that apply) x Charge fee(s) indicated below Charge fee(s) indicated below, except for the filing fee Charge any additional fee(s) or underpayment of x | Credit any overpayments fee(s) under 37 CFR 1.16 and 1.17 **FEE CALCULATION** 1. BASIC FILING, SEARCH, AND EXAMINATION FEES FILING FEES SEARCH FEES **EXAMINATION FEES Small Entity Small Entity** Small Entity Application Type Fee (\$) Fee (\$) Fee (\$) Fees Paid (\$) Fee (\$) Fee (\$) Fee (\$) Utility 300 150 500 250 200 100 Design 200 100 100 50 130 65 200 Plant 100 300 150 160 80 Reissue 300 150 500 250 600 300 Provisional 200 100 . 0 0 0 2. EXCESS CLAIM FEES Small Entity Fee (\$) Fee (\$) Fee Description Each claim over 20 (including Reissues) 50 25 Each independent claim over 3 (including Reissues) 200 100 Multiple dependent claims 180 **Total Claims** Extra Claims Fee Paid (\$) Multiple Dependent Claims Fee (\$) Fee Paid (\$) Extra Claims Fee Paid (\$) Indep. Claims Fee (\$) - 3 = 3. APPLICATION SIZE FEE If the specification and drawings exceed 100 sheets of paper (excluding electronically filed sequence or computer listings under 37 CFR 1.52(e)), the application size fee due is \$250 (\$125 for small entity) for each additional 50 sheets or fraction thereof. See 35 U.S.C. 41(a)(1)(G) and 37 CFR 1.16(s). **Total Sheets** Extra Sheets Number of each additional 50 or fraction thereof Fee (\$) Fee Paid (\$) - 100 = \_\_ \_\_ (round up to a whole number) x 4. OTHER FEE(S) Fees Paid (\$) Non-English Specification, \$130 fee (no small entity discount) Other (e.g., late filing surcharge): 2252 Extension for response within second month 225.00 SUBMITTED BY Registration No. Signature 38.220 Telephone (617) 227-7400 better their Anthony A. Laurentano Name (Print/Type) November 1, 2005

I hereby certify that this correspondence is being deposited with the U.S. Postal Service as Express Mail, Airbill No. EL 913 978 925 US, in an envelope addressed to: MS PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on the date shown below.

Dated: November 1, 2005

Signature:

Will Jan Grand A. Laurentano)





#### United States Pate<u>nt</u> and Trademark Office

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address COMMISSIONER FOR PATENTS P.O. DOX 1450 Alexandra, Viiginia 22313-1450 www.uniptogov

U.S. APPLICATION NUMBER NO.	FIRST NAMED APPLICANT	ATTY, DOCKET NO.
10/523,372	· Michael S Hsu	HSE-058US

INTERNATIONAL APPLICATION NO.

PCT/US03/25041

I.A. FILING DATE

PRIORITY DATE 08/08/2002

959 LAHIVE & COCKFIELD, LLP. 28 STATE STREET

ENTERED

**CONFIRMATION NO. 6413 371 FORMALITIES LETTER** 

OC000000016425336\*

08/08/2003

Date Mailed: 07/01/2005

**BOSTON, MA 02109** 

### NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as a Designated / Elected Office (37 CFR 1.495).

- Indication of Small Entity Status
- Copy of the International Application filed on 02/02/2005
- Copy of the International Search Report filed on 02/02/2005
- Copy of IPE Report filed on 02/02/2005
- Copy of Annexes to the IPER filed on 02/02/2005
- Small Entity Statement filed on 02/02/2005
- U.S. Basic National Fees filed on 02/02/2005
- Priority Documents filed on 02/02/2005

RECEIVED JUL 0 6 2005

RETRIEVED:1 **FORWARDED** 

The following items MUST be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

 Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date.

ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTHS FROM THE DATE OF THIS NOTICE OR BY 32 MONTHS FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

A copy of this notice **MUST** be returned with the response.

## BARBARA A CAMPBELL

Telephone: (703) 308-9140 EXT 217

# PART 1 - ATTORNEY/APPLICANT COPY

U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY. DOCKET NO.
10/523,372	PCT/US03/25041	HSE-058US

FORM PCT/DO/EO/905 (371 Formalities Notice)

Attorney Docket No.: HSE-058US

## Certificate of Express Mailing Under 37 CFR 1.10

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ọn	November 1, 2005
	Date

Signature
Anthony A. Laurentano

Typed or printed name of person signing Certificate

38,220

Registration Number, if applicable

(617) 227-7400

Telephone Number

Note: Each paper must have its own certificate of mailing, or this certificate must identify each submitted paper.

Transmittal Letter to the United States Designated-Elected Office (3 pages)

Fee Transmittal (1 page in duplicate)

Response To Notification Of Missing Requirements Under 35 U.S.C. 371

In The United States Designated/elected Office (1 page)

Executed Declaration, Petition and Power of Attorney (3 pages)

Two Month Request for Extension of Time Under 37 CFR 1.136(a) (1

page)

Copy of Notification of Missing Requirements (2 pages)

Return Receipt Postcard

Charge \$225.00 to deposit account 12-0080